

* Name of proposal/policy	Place Joint Venture	* Budget number	15-006-44
* Service area responsible	Place – Capital & Asset Management	* Cabinet meeting date	14 <sup>th</sup> February 2017
* Name of completing officer	Alison Parry	* Approved by Director / Assistant Director	Tony Ciaburro
* Version	1.0	* Date	2 February 2017

**PART A**

* Section 1a: Description of proposal under consideration/development	* Section 1b: Is this new or existing? Does it link to current provision?
Establishment of a Joint public/ private sector partnership to manage the Council's assets, as well as the delivery of a range of wider functions. The model under consideration is expected to raise up front funding for infrastructure and the development of the Council's assets as a result of the long-term return on investment. This will help to obtain a revenue stream, not just a capital receipt. The private sector have skills in the delivery side of investment but require a public sector partner to have a clear strategy and decision making structure. The Place Directorate will provide that direction and manage the effective engagement with partners for the benefit of the Council and the wider County. Upfront cash payment anticipated – to be returned in future years.	This is a new approach to service provision as part of Next Generation Working.

The development of a new operating model for the PLACE was the subject of a report to the Cabinet in December 2016, and will be followed by the presentation of a full business case in March / April 2017. The use of a joint venture to exploit assets better and bring in private sector investment is an approach that has been taken by other local authorities that have set up place commissioning structures. These authorities have been able to secure significant capital investment – £10m-£60m – at an early stage. Soft market testing by the Council has been positive. Further work on the business case for this approach will be carried out. If the joint venture approach is approved it is anticipated that it could be established from September 2017 although not carrying out its full functions immediately.

**\* Section 2: How does this align with the Council Plan/ corporate priorities/ partnership strategies and plans/ Next Generation Council?**

<b>Delivers increased wellbeing and/or safeguarding by ensuring that...</b>	
People of all ages are safe, protected from harm and able to live happy, healthy and independent lives in our communities	✓
People have the information and support they need to make healthy choices and achieve wellbeing	
People achieve economic prosperity, in a healthy, low carbon economy which gives access to jobs, training and skills development	
Communities thrive in a pleasant and resilient environment, with robust transport and communications infrastructure	✓
Resources are utilised effectively and efficiently, in coordination with partners and providers	✓

**Does it align with any NCC/ partnership strategies and plans? (if so, please list below)**  
 Yes – it will align with update Asset Management and Capital Strategies.

**Does it support the transformation into a Next Generation Council? (if so, please explain below)**  
 Yes – new commissioning approach to service delivery through the establishment of an arms-length organisation as part of the federated model.

**\* Section 3: If this relates to a statutory duty, please give details of the relevant legislation below:**

N/A

**Section 4: Have other alternatives been considered?**

Yes a detailed Options Appraisal has been prepared and considered by Cabinet in December 2016.

**\* Section 5: Financial / resource implications**

**a) Costs (e.g. invest to save)**

c.£550k needed to develop the concept and to undertake the procurement process through OJEU.

**b) Staffing implications**

1.5 FTE specialist resource

**c) Savings**

£1.5m

**Section 6: Has a similar initiative/proposal been implemented elsewhere? What were the benefits or risks? Are there lessons that can be learned?**

Yes in other local authorities

**THIS SECTION HAS REPLACED THE EQUALITY IMPACT ASSESSMENT FORM AND MUST BE COMPLETED TO DEMONSTRATE COMPLIANCE WITH THE EQUALITY DUTY**

**\* Section 7: Who will be affected by this proposal? (this may be service user data, or be based on a particular geographical area or more general population data depending on the proposal) Include demographic information where this is available e.g. breakdown by gender, age, ethnicity, disability etc. BIPI can support with the provision of data.**

**You may include staff, partner organisations and any other stakeholders who might be affected by the proposal.**

<p><b>Data Source (include link where published) and summary of what it tells us for example “X number of people use this service, X are male, Y are female etc”</b></p>	<p><b>Why is this relevant to the proposal?</b></p>
<p>The services within scope to be included in the Joint Venture Proposal include:</p> <ul style="list-style-type: none"> <li>• Environment, Planning &amp; Transport (Energy initiative, Heritage Scheme at Castle House Northampton, Superfast Broadband rollout, the Dementia Village, Economic Heartland)</li> <li>• Property Estates Service (hard facilities maintenance, soft facilities maintenance, disposal of assets, provision of services to other organisations)</li> <li>• Schools (management of the programme)</li> <li>• Highways</li> </ul>	<p>This shows the scope of services that may be included in the Joint Venture and that may therefore receive investment as a result.</p>

**\* Section 8: Based on the above information, will this proposal have an impact on the following? ✓ the relevant box for each line and then expand on the reasons why in Section 9**

	Positive	Negative	Neutral	Unsure
<a href="#">Age</a>			X	
<a href="#">Disability</a>			X	
<a href="#">Gender reassignment</a>			X	
<a href="#">Marriage and civil partnership</a>			X	

<a href="#">Pregnancy and Maternity</a>			X	
<a href="#">Race</a>			X	
<a href="#">Religion or Belief (or No Belief)</a>			X	
<a href="#">Sex</a>			X	
<a href="#">Sexual orientation</a>			X	

<b>* Section 9: Initial impact</b>	
<b>* Section 9a: From your analysis in section 8 above, please explain the positive implications</b>	
N/A	
<b>* Section 9b: From your analysis in section 8 above, please explain why you have categorised any of the implications as neutral</b>	
If the services set out above form part of the Joint Venture, it will not affect the service customers receive, but the way the services are funded. The impact has therefore been assessed as neutral.	
<b>* Section 9c: From your analysis above, please explain the negative implications</b>	<b>* Section 9d: What actions have you identified to mitigate any negative implications?</b>
N/A	N/A
<b>* Section 9e: From your analysis above, please explain the areas where you are unsure of the impact</b>	<b>* Section 9f: What actions have you identified to fill gaps in information?</b>
N/A	N/A

<b>* Section 10: Internal considerations: are there any implications for the following?</b>		
	<b>✓ or X</b>	<b>If there are any implications, please explain them here and identify any actions you may need to take as a result</b>
<a href="#">Staff</a>	x	To be confirmed once options are considered and business case developed.
<a href="#">Financial (NCC)</a>	x	Financial support to assess commercial arrangement
<a href="#">Legal</a>	x	Legal support required to establish Joint Venture arrangement

<u>NCC Policy</u>		
<u>Performance</u>		
<u>Data quality / information security</u>		
<u>IT</u>		
<u>Strategic assets</u>	x	Better exploitation of NCC assets, including revenue generation
<u>LGSS / federated bodies</u>		
<u>Procurement</u>		
<u>Project support</u>		
<u>Other (if appropriate)</u>		

* Section 11: External considerations: are there any implications for the following?		
	✓ or X	If there are any implications, please explain them here and identify any actions you may need to take as a result
<u>Community impact</u>		
<u>Impact on the consumer (e.g. communication needs, access, rurality, complaints)</u>		
<u>Reputation</u>		
<u>Political</u>		
<u>Partners</u>		
<u>Human Rights</u>		
<u>Health impact – individual</u>		

<a href="#">Health impact – wider community</a>		
<a href="#">Economic impact - individual</a>		
<a href="#">Economic impact – wider community</a>		
<a href="#">Community Safety impact</a>		
<a href="#">Environmental impact</a>		
<a href="#">Consultation</a>		
<a href="#">Other (if appropriate)</a>		

Based on the impacts and considerations above, please identify the RAG status

RAG Status	Overall RAG	Time	Proposal Delivery	Cash Saving	Risk	Issue	Dependency

* Section 12a: What are the risks associated with NOT implementing the proposal? (tick if applicable)	✓	* Section 12b: What actions have you identified to mitigate the risks? Will anything in the current arrangements need to be changed to acknowledge this risk? Is implementing the proposal the only way to mitigate the risks?
Non-compliance with legislation or NCC policy		
Financial	x	If savings not found from this JV approach, then savings will need to be found elsewhere in the Council.
Change in performance/ data quality/ information security		
Reputational/ Political		
Increase in complaints and/ or legal challenge		
Other		

**\* Section 13: Budget Delivery Programme Highlight Report information – total amount allocated to savings proposal 2017-18 through to 2020-2021; profiled budget; 2017-18 action plan extended savings narrative; cost code information**

<b>Total amount allocated to savings proposal 2017-18</b>	
<b>2017-18 (£000)</b>	<b>1,500</b>

<b>Impact of saving within the budget profile</b>			
<b>Q1</b>	<b>Q2</b>	<b>Q3</b>	<b>Q4</b>
			1,500

	<b>Milestone</b>	<b>Owner</b>	<b>By when</b>
<b>1</b>	JV Options Appraisal	I Boll	November 2016
<b>2</b>	Cabinet Report on Way forward	I Boll	December 2016
<b>3</b>	Implementation of JV Road-map	I Boll	January 2017
<b>4</b>	New JV established	I Boll	January 2018

**\* Section 14: Do you need to undertake further work (e.g. consultation, further proposal development, further equality analysis) based on the impact and actions identified above? If yes, set this out below and then carry out the work and complete Part B:**

This proposal will form part of the overall budget consultation process. Any feedback received (as well as any further intelligence gathered in the course of developing the project) will inform a final analysis of the impact of the proposal which will be considered by Cabinet and Council in February when taking a final decision on the budget. The EqIA will be updated accordingly.



**PART B**

<b>Section 15: Consultation, follow up data and information gathered from actions identified above</b>	
	<b>What does this information tell us?</b>
This proposal formed part of the overall budget consultation process.	We received no comments specifically on this proposal.

<b>Section 16: Final impact analysis (taking the findings from Part B into account) – including review date if required</b>	
The Joint Venture will not affect the service customers receive, but the way the services are funded. The impact has therefore been assessed as neutral.	